

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name  
Signature

## RESPONSE TO MISSING PARTS

Address to:  
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	06510-121US1
First Named Inventor	Yadong Huang
Application Number	09/544,910
Filing Date	April 7, 2000
Group Art Unit	1643
Examiner Name	N/A
Title:	Methods and Compositions for Use in the Treatment of Hyperlipidemia



Sir:

In response to the Notice to File Missing Parts dated June 29, 2000, transmitted herewith for filing are the following documents:

- Fee Transmittal;
- copy of the Notice to File Missing Parts;
- Declaration signed by the inventors;
- Power of Attorney by Assignee;
- a Verified Statement Claiming Small Entity Status;
- Information Disclosure Statement;
- Form PTO-1449; and
- 12 References.

The Commissioner is hereby authorized to charge the amount of \$623.00 (surcharge, \$65; statutory basic filing fee, \$345; additional claim fees, \$213) to cover the fees required and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 8.25.00

By:

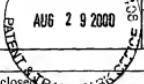
Bret E. Field  
Registration No. 37,620

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# FEE TRANSMITTAL

Note: Effective October 1, 2004  
Patent fees are subject to annual revision.

AUG 29 2000



Attorney Docket Number	061121US1
First Named Inventor	Yao-ting Huang
Application Number	09/544,910
Filing Date	April 7, 2000
Examiner Name & Group Art Unit	N/A (1643)
Title	Methods and Compositions for Use in the Treatment of Hyperlipidemia

## METHOD OF PAYMENT

1.  A Check Is Enclosed  
2.  The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

## FEES CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	208	345	Utility filing fee	\$345
102	310	206	155	Design filing fee	
101	480	208	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	208	75	Provisional filing fee	
				Subtotal (1)	\$345

### 2. CLAIMS

No. of claims as filed or after amendment		Most claims previously paid		Extra claims		Fee from below		Fee Due
Total claims	35	-	20*	=	15	x	9	= \$135
Ind. claims	5	-	3	=	2	x	39	= \$78
Multiple Dependent claims					x			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	208	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
Subtotal (2) \$213				

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	65
			127	Surcharge - late provisional filing fee or cover sheet	25
139	130	139	130	Non-English specification	147
			2,520	Filing a request for reexamination	2,520
115	110	215	55	Ext. for reply within first month	116
			380	Ext. for reply within second month	190
117	870	217	435	Ext. for reply within third month	118
			1,360	Ext. for reply within fourth month	680
128	1,850	228	925	Ext. for reply within fifth month	119
			300	Notice of Appeal	219
120	300	220	150	Filing brief in support of appeal	121
			260	Request for oral hearing	221
140	110	240	55	Petition to revive - unavoidable	141
			1,210	Petition to revive - unintentional	241
142	1,210	242	605	Utility issue fee (or reissue)	122
123	50	123	50	Petitions related to prov. appl.	126
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	149
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113
581	40	581	40	Recording patent assignment	1840*
				Other fee (specify)	113
					1840*

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) & 65

(\$623.00)

### TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815

Submitted by (Typed Name)	Bret E. Field	BOZICEVIC, FIELD & FRANCIS LLP
Signature		Date 8-25-00 Reg. Number 37,620

## FORMALITIES LETTER



\*OC00000005210548\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

A3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,910	04/07/2000	Yadong Huang	06510/121US1

Bret Field  
 Bozicevic Field & Francis LLP  
 200 Middlefield Road  
 Suite 200  
 Menlo Park, CA 94025



Date Mailed: 06/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$426.
  - \$ 270 for 15 total claims over 20.
  - \$ 156 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1246.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/01/2000 GTEFFERA 00000101 500815 09544910

01 FC:201	345.00 CH
02 FC:203	135.00 CH
03 FC:202	76.00 CH
04 FC:205	65.00 CH